



City and County of Swansea

Notice of Meeting

You are invited to attend a Meeting of the

Governance & Audit Committee

At: Remotely via Microsoft Teams

On: Tuesday, 9 November 2021

Time: 2.00 pm

Chair: Paula O'Connor

Membership:

Councillors: C Anderson, P M Black, D W Helliwell, T J Hennegan, P R Hood-Williams, O G James, J W Jones, M B Lewis, C E Lloyd, S Pritchard, J A Raynor, L V Walton and T M White

Lay Member: Julie Davies

Watch Online: <https://bit.ly/3pA22dG>

Agenda		Page No.
1	Apologies for Absence.	
2	Disclosures of Personal and Prejudicial Interests. www.swansea.gov.uk/disclosuresofinterests	
3	Minutes. To approve & sign the Minutes of the previous meeting(s) as a correct record.	1 - 5
4	Internal Audit Annual Plan 2021/22 – Monitoring Report for the Period 1 July 2021 to 30 September 2021. (For Information) (Simon Cockings)	6 - 32
5	Response to the Community Equipment Stores & Community Alarms Service Audit Report 2021/22.	33 - 52
6	Internal Audit Recommendation Follow-Up Report - Quarter 2 2021/22. (For Information) (Simon Cockings)	53 - 61
7	Fundamental Audits 2020/21 Recommendation Tracker. (For Information) (Simon Cockings)	62 - 71

8	Internal Audit Section - Corporate Fraud Function Mid-Year Update Report for 2021/2022. (Jeff Fish / Jonathon Rogers)	72 - 81
9	Corporate Risk Overview 2021/22 – Quarter 2. (For Information) (Richard Rowlands)	82 - 124
10	(Directorate): Internal Control Environment 2021/22. (For Information) (Dave Howes)	125 - 146
11	Annual Report Corporate Safeguarding 2020-21. (For Information) (Simon Jones)	147 - 184
12	Update on Swansea Achieving Better Together. (For Information) (Adam Hill)	185 - 205
13	Impact of the Corporate Insolvency and Governance Act 2020. (Verbal) (Ben Smith)	
14	Governance & Audit Committee Action Tracker Report. (For Information) (Jeremy Parkhouse)	206 - 211
15	Governance & Audit Committee Work Plan. (For Information) (Jeremy Parkhouse)	212 - 228

Next Meeting: Tuesday, 14 December 2021 at 10.00 am

Huw Evans

Huw Evans
Head of Democratic Services
Tuesday, 2 November 2021

Contact: Democratic Services: - 636923